Machine Learning Methods for Performance/Power/Thermal Optimization of Signal Processing Systems

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### Outline

- Non-functional design parameters and system-level methodologies
  - Modeling complexity
  - System design space
- Modeling techniques:
  - WCET
  - Thermal
  - Reliability
- Statistical models for non-functional parameters:
  - Markov decision processes
  - Solution algorithms

#### Complex application requirements

- Real-time (soft and hard) performance.
  - Throughput and latency.
- Power consumption.
- Thermal performance.
- Complex functionality.

#### Causes of modeling complexity

- Complex architecture, microarchitecture, logic design.
- Complex physics and multi-physics.
- Process variation.
- Aging-induced variation.

# Software performance analysis

- Worst-case execution time (WCET):
  - Worst-case under any inputs or system state.
- Sometimes interested in best-case execution time.
- Software performance analysis:
  - Execution time = f(program path, path timing).
- Path timing is hard:
  - Pipelining.
  - Caches for single process.
  - Multi-tasking cache behavior.

# Iterative DOE Methodology

- Initially include all inputs
- Random effects analysis to screen for significant inputs
- Create factors to treat the inputs
- Test these for significance
- Begin iterative process
  - Apply factors to inputs to generate test cases
  - Simulate set
  - Evaluate statistical trends to seek increasing times
  - Test stopping criteria, and repeat



#### **Experimental Setup**

- Matlab used to develop analytical tools, and control entire process
- SimpleScalar used for simulation
- Perl scripts parse output, and collect data

•	Initially tested on well
	analyzed sorting
	algorithms

- Heap, quick, insert
- Inputs: fixed size arrays

	Initial Rai	ndor	<u>n Effects</u>	5	
Source	Sum Sq.	d.f	Mean Sq.	F	Prob>F
Insertion	Anadyszs	63	110906.5	48.26	0
Error	294179.3	128	2298.3		
Total	7281292	191			
Неар	1456967	63	23126.5	12.6	0
Error	234969.3	128	1835.7		
Total	1691936	191			
Quick	2074438	63	32927.6	17.42	0
Error	241889.3	128	1889.8		
Total	2316327	191			

	Irostmo	nt Far	<u>tore Rar</u>	ndom		_
Source	Sum Sq.	d.f.	Mean Sq.	μųμη	Prob>F	
order	Effectes A	nalvs	<b>S</b> 40262.4	19.06	0.0072	
scale	1428.3	1	1428.3	0.68	0.4571	Ins
order*scale	8447.5	4	2111.9	1.2	0.3401	ert
error	35091.3	20	1754.6			ion
total	206017	29				
order	8611.1	4	21652.8	11.43	0.0184	
scale	1241.6	1	1241.6	0.66	0.4636	-
order*scale	7577.5	4	1894.4	0.54	0.7048	lea
error	69544.7	20	3477.2			ō
total	164975	29				
order	33991.1	4	8497.78	19.51	0.0069	
scale	43.2	1	43.2	0.1	0.7685	Q
order*scale	1741.8	4	435.45	0.27	0.8913	ũi
error	31787.3	20	1589.37			×
total	67563.5	29				



managurad Quick M/CETa

Fitted *nlog(n)*-order Polynomial for

#### Further Experiments

Source	Sum Sq.	d.f.	Mean Sq.	F	Prob>H
variance	55543902956988.484	254	218676783295.2	8.92201e+015	0
order	26694894.1875	4	6673723.5	2.72288e+011	0
variance*order	49851549.40625	1016	49066.5	2.00191e+009	0
Error	0.0625	2550	0		
Total	55543979503432.148	3824			







•Dealt with

significant cross-factor

interactions

#### Expected Time To Completion Introduction

- ETTC proposes to estimate the expected remaining execution time for active tasks
- Potential benefits
  - Reclaim system resources
  - Accommodate more sporadic tasks
  - Schedule for power
  - Efficient partitioning



#### Expected Time To Completion Approach

- Based on actual measurements from system
- Methods are platform independent
- Performance improves
- Can be carried out dynamically
- Employs the use of multivariate statistics

#### The Statistical Tools

- Factor Analysis
  - Interdependence technique
  - Simplifies links amongst separate factors
- Multivariate Discriminant Analysis
  - Intra-dependence technique
  - To simplify relationships amongst observations
  - Means of performing classification

### ETTC Framework

- Consider applications to be advancing through series of states
  - States not defined, unobservable
  - Need observable events
- Use loop counts as indicator for progress



Portion of Execution				
Benchmark	Percentage			
Time Speratnin	LOO <b>9</b> 5640%			
FIR	95.70%			
LZW	93.30%			
Fourstep	83.70%			

#### Computing the ETTC Regression

- A set of loops  $\Lambda_i = (\lambda_1, \lambda_2, ..., .is_L)$  dentified for each task  $T_i$ , with L loops
- During training each observation at time t consists of
  - Number of iterations for for j=1,...,L
  - Actual time remaining until completion,
- Coefficients of  $Y_{t} = \beta_{0} + \beta_{1}X_{1,t} + \dots + \beta_{L}X_{L,t}$  are then calculated, where  $Y_{t} = \beta_{0} + \beta_{1}X_{1,t} + \dots + \beta_{L}X_{L,t}$ , and  $X_{i,t}$  is count for loop *i*, all at time *t*.
- The  $\beta_i$  are then used in prediction

#### Computing the ETTC Regression

- Data possibly too varied
- Consider execution scenarios
  - Cluster training data into S clusters based on total execution time
  - Now have *S* separate models,
- Select proper scenario with following heuristic
  - Scheduler runs at fixed time intervals,  $\varPhi$
  - For  $(t_i, t_{i+1})$ , let  $\delta_s = Y_{t_{i,s}} Y_{t_{i+1,s}}$ , for  $s = 1, \dots, S$
  - Select scenario s which satisfies  $min(\Phi \delta_s)$



 $Y_{ts} = \beta_{0s} + \beta_{1s} X_{1t}^{(s)} + \ldots + \beta_{Ls} X_{Lt}^{(s)}$ 

#### Computing the ETTC Regression

- Scenarios improve the prediction
- Employ factor analysis to uncover latent loop dependencies
  - Reduces memory storage demands (here by 70%)
  - No performance penalty
  - Removes under prediction near end



# Computing the ETTC Discriminant Analysis

- Using same observations as prior method
- Create database by grouping similar observations together
- Associate each group with an AET
- For training data, with G groups, each run
  - Has *N* observations
  - These are divided into G equal sized groups
  - Successive observations grouped together
  - Multivariate normal distributions are fit to each group
- In making an ETTC prediction,
  - Observations are compared to all groups
  - Classified with best fitting group, and given the associated ETTC

Computing the ETTC Discriminant Analysis

- No need for scenario heuristic
- Predictions are discrete
- Can add groups
  - Reduces quantization
  - Slower, more memory
  - Does not guarantee better performance



#### Computing the ETTC Kalman Filter



- Want to deduce hidden state of task from observable loop counts,
- Allows for continuous-time inputs, <u>and</u> continuous-valued hidden states,
- current state depends on previous state  $\Sigma_t = A \Sigma_{t-1} + w_{t-1}$ , A is state transition matrix,  $w_{t-1}$  is process noise  $\sim N(0, Q_t)$
- To measure current state,  $\Theta_t = H_t \Sigma_t + v_t$
- Initial conditions are critical, hence segmentation is necessary

#### Results

		Regression		Segmented Regression		Discriminant Analysis		Kalman Filter				
Process	1⁄4	1/2	3⁄4	1⁄4	1/2	3⁄4	1⁄4	1/2	3⁄4	1⁄4	1/2	3⁄4
Huff	14.60%	24.90%	35.30%	3.30%	4.60%	8.60%	2.30%	1.90%	1.70%	22.80%	20.60%	22.40%
FIR	2.80%	2.50%	1.70%	0.52%	0.45%	0.38%	0.64%	0.58%	0.65%	10.40%	13.40%	22.50%
LZW	14.70%	18.50%	25.20%	3.80%	5.60%	10.30%	3.60%	3.90%	8.30%	18.70%	20.40%	29.40%
4step	9.50%	13.20%	25.20%	1.30%	1.70%	3.20%	1.70%	0.69%	0.93%	13.70%	12.40%	16.10%

- Scenarios are crucial
- D.A. accurate, but expensive/slower
- KF has potential to perform better in complex heavily loaded systems, with noisy observation



#### Computer system energy consumption

Component	Power (W)
CPU	100-200
Memory	25
Disk	10-15
Board	40-50
Power/fans	30-40
Total	200-350

server



#### smartphone

#### Current requirements

- Intel Xeon E7-8800:
  - $I_{CC\_MAX} = 120 A$
  - Operating voltage of 1.3V

- Craftsman Arc Welder:
  - I = 60 A
  - Operating voltage of 120V.

Welder draws higher power but CPU has impressively high current density.

#### Heat transfer mechanisms

- •Conduction.
  - Molecular motion.
- •Radiation.
  - Electromagnetic energy.
- •Convection.
  - Bulk fluid motion.

Heat carried through a solid. Can be transmitted in a vacuum.

#### Air or water flow.



#### Key thermal ratings

- Transistor junctions must be kept below maximum junction temperature:
  - $T_{J,max} = 85^{\circ}C$
  - Temperature at which heat damages the transistor structures.
- Chip specifies thermal design power (TDP).
  - Amount of operating heat that its cooling system must be able to dissipate.

#### Thermal drives performance

# TDP P f

# Physical properties

- Specific heat:
  - Relationship between heat input/output and temperature.
  - Measured in Joules/kilogram-Kelvin.
- Thermal conductivity:
  - Relationship between temperature difference and heat flow per unit time.
  - Measured in Watts/meter-Kelvin.

material	specific heat (J/kg K)	thermal conductivit y (W/m K)	density (kg/m <sup>3</sup> )
silicon	710	149	2.3x10 <sup>-3</sup>
ceramic (aluminum nitride)	740	150	3.3x10 <sup>-3</sup>
carbon steel	620	41	7.9x10 <sup>-3</sup>

# Thermal properties of objects

- Thermal resistance R:
  - Thermal conductivity for a specific shape and size of material.
  - $R = \frac{l}{kA}$ , length l, area A, thermal conductivity k.

- Thermal capacitance C:
  - Specific heat for a specific shape and size of material.
  - $C = mC_m$ , mass m, specific heat  $C_m$ .

# Thermal/electrical analogy

electrical	thermal
charge Q	thermal energy Q
current I	heat flow P
voltage V	temperature T
resistance R	thermal resistance R
capacitance C	thermal capacitance C

### Physical laws of thermal behavior

• Fourier's Law of Heat Conduction:

• T = PR

• Newton's Law of Cooling:

• 
$$P = C \frac{dT}{dt}$$

#### Steady-state temperature

- Use thermal resistance to determine steady-state temperature.
  - Calculate temperature difference from ambient to junctions.
- Thermal circuit has a heat source P, thermal resistance R.
- Output temperature is measured across thermal resistance.





#### Example: heat sink performance

- Computer power P = 20 W.
- Ambient temperature 20°C.

- Case 1---no heat sink:
  - $T_{none} = 20 + 20W \cdot 10\frac{^{\circ C}}{W} = 220^{\circ C}$

• 
$$T_{sink} = 20 + 20W \cdot 1.5 \frac{^{\circ}C}{W} = 50^{\circ}C$$

# Thermal transient analysis

- Chip and heat sink form a thermal RC circuit.
  - Measure chip temperature relative to ambient.
- Temperature as a function of time:
  - $T(t) = (T_0 PR)e^{-t/RC} + PR + T_A$
  - Temperature above ambient at  $t = \infty$  is *PR*.



# Example: thermal RC model of chip temperature

parameter	value
R	0.5 K/W
С	0.03 J/K
Р	50 W
T <sub>0</sub>	0 <i>K</i>
$T_A$	300 K

- $T(t) = 325 25e^{-\frac{t}{0.015}}$
- Thermal time constant 0.015 *sec*.
- Steady-state temperature 325 *K*.



#### Thermal square wave

• Chip runs periodically:





#### Dual-core processor

- Two processors alternate between run, stop.
- Cores are connected by thermal resistance.



#### Dual-core thermal analysis

- Can borrow a result from electrical circuits:
  - Assume 50% duty cycle, period  $S = 2K\tau$ .
- Upward and downward temperature waveforms:

• 
$$T^{u}(t + t_{0}) = (-T_{p} - P)e^{-t/RC} + P$$
  
•  $T^{d}(t + t_{0}) = (T_{p} + P)e^{-t/RC} - P$ 

• Temperature cycles between 
$$T_p$$
,  $-T_p$ :  
•  $T_p = (-T_p - P)e^{-K} + P = (T_p + P)e^{-K} - P$   
• So  $\frac{T_p}{P} = \frac{1 - e^{-K}}{1 + e^{-K}}$ 

#### Dual-core thermal behavior



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#### Heat and reliability

- Heat contributes to aging.
  - Higher temperatures cause chips to fail earlier.
- Arrhenius' equation describes the relationship between energy and the rate of physical processes:
  - $r = Ae^{-E_a/kT}$
  - Activation energy  $E_a$  is determined by energy required to promote electrons to high orbits.
  - Arrhenius prefactor A is measured experimentally.

### Electromigration

- Electromigration is a common temperature-related failure mechanism.
  - Heat causes some molecules in wire to release free atoms.
  - Current flowing through wire causes free atoms to move.
  - Destructive feedback---thinner wire segments heat more, causing more rapid failure.
- Failure rate modeled using Black's equation:

• 
$$MTTF = AJ^{-n}e^{E_a/kT}$$
,  $1 \le n \le 3$ .

#### Lifetime analysis

- Chip temperature often varies over time based on use case and computing activity.
- We can model aging as a function of temperature:

• 
$$R(t) = \frac{1}{kT(t)} e^{-E_a/kT(t)}$$
  
•  $\varphi_{th} = \int_0^t \frac{1}{kT(t)} e^{-E_a/kT(t)}$ 

- Chip-level reliability engineering:
  - Minimize hot spots.
  - Use operating system to spread workload across cores.

#### Thermal management

- A combination of hardware and software is used to manage thermal behavior.
- On-chip temperature measured using band gap reference circuit.
- Processor may provide a software interface to on-chip temperature sensors.
  - Intel Thermal Monitor 1 turns the clocks off and on at a duty cycle chosen for the processor type, typically 30%-50%.
  - Intel Thermal Monitor 2 uses dynamic voltage and frequency scaling mechanisms to reduce both the clock speed and power supply voltage of the processor.

#### Markov decision processes



- Probabilistic transitions combined with inputs.
  - Given an input at a state, next state is chosen probabilistically.
- A policy  $\pi$  defines the actions in each state *s*.
  - Optimal policy maximizes rewards.

#### MDP model

- States S.
- Actions S.
- Probability that action a in state s gives transition to s'  $P_a(s, s')$ .
- Reward for action  $R_a(s, s')$ .
- Discount factor  $\gamma$ .

- Find policy  $\pi$  to maximize timediscounted reward:
  - $\sum_{t\geq 0} \gamma^t R(s_t, s_{t+1})$

#### Value iteration

- $V_{i+1}(s) = \max_{a} \left[ \sum_{s'} P_a(s, s') \{ R_a(s, s') + \gamma V_i(s, s') \} \right]$
- Value at each step is maximum over all possible actions.
- Iterate until converged.

#### Policy iteration

- 1. Find policy  $\pi(s) = \arg \max_a P_a(s,s') \{R_a(s,s') + \gamma V_i(s,s')\}$
- 2. Iterate until converged  $V(s) = \sum_{s'} P_{\pi(s)}(s,s') \{R_{\pi(s)}(s,s') + \gamma V_i(s,s')\}$
- 3. Repeat 1-2 until converged.

### Reinforcement learning

• Identify transition probabilities using random search.